



Applicant:

Lawandy et al.

Serial No.:

09/801,445

Filed:

March 8, 2001

For:

**AUTHENTICATION USING A DIGITAL WATERMARK** 

Art Unit:

2131

Examiner:

Not Yet Assigned

Confirmation No.:

6481

Attorney Docket No.: 902.0015USU

Commissioner for Patents Washington, D.C. 20231

## INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with applicant's duty of disclosure under 37 C.F.R. §1.56, please find attached hereto form PTO-1449 listing information which may be material to the patentability of this application, filed March 8, 2001. This Information Disclosure

| paton       | tability of the application, the that it is a second of the second of th |
|-------------|--|
| State       | ment is being filed:   |
|             | Within three (3) months of the filing date of the national application;  |
|             | Within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application;   |
| <u>xxx</u>  | Before the mailing date of a first Office Action on the merits;  |
|             | After the filing date or date of first Office Action, but before the mailing date of a final action under 37 C.F.R. §1.113, provided that this occurs prior to the issuance of a Notice of Allowance and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e) or the fee set forth in 37 C.F.R. §1.17(p);   |
| <del></del> | After the filing date or date of first Office Action, but before the mailing date of a Notice of Allowance under 37 C.F.R. §1.311, provided that this occurs prior to the final action and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e) or the fee set forth in 37 C.F.R. §1.17(p);   |
|             | After the mailing date of a final action under 37 C.F.R. §1.113, provided that this occurs prior to the issuance of a Notice of Allowance and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e), a petition requesting consideration of the I.D.S., and the petition fee set forth in 37 C.F.R. §1.17(i)(1); and   |

After the mailing date of a Notice of Allowance under 37 C.F.R. §1.311, provided that this occurs prior to the issuance of a final action and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e), a petition requesting consideration of the I.D.S., and the petition fee set forth in 37 C.F.R. §1.17(I)(1).

Also enclosed are copies of U.S. Patent Nos. 5,619,026; 5,891,240; 6,037,984 and 6,146,773 along with two articles titled "Digital Watermarking of Raw and Compressed Video" and "Watermarking of MPEG-2 Encoded Video Without Decoding and Re-Coding".

It should be understood that attention has been called to the references that have been deemed to be pertinent to the claimed present invention. In concluding what was pertinent, the criteria employed was considered most appropriate in light of the invention shown in the present application. However, the Examiner or others may deem some other criteria to be just as appropriate or more appropriate. Therefore, the Examiner is respectfully urged to review the listed references and to make the usual careful independent search for other prior art that may be pertinent.

June 14, 2001

Respectfully submitted

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| FORM PTO-1449       |                              |                |                                       | Docket Number (Optional) 902.0015USU      |             |                | Application Number 09/801,445 |                    |         |  |                                     |
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|                     | N AN APPLICA                 | TION           |                                       | Lawandy et al.                            |             |                |                               |                    |         |  |                                     |
| JUN 1 8 2001 H      | 4)                           |                |                                       | Filing Date                               |             | 1              | up Art Unit                   |                    |         |  |                                     |
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| e manuel Cal        | ·                            | U              | . S. PATEN                            | T DOCUMENTS                               | 1           |                |                               |                    |         |  |                                     |
| EXAMINER<br>INITIAL | DOCUMENT<br>NUMBER           | DATE           | NAME                                  |   | CLAS        | s              |                               |                    | OPRIATE |  |                                     |
|                     | 5,619,026                    | 4/8/97         | Chou et al.                           |   | 235         |                | 462                           |                    |         |  |                                     |
|                     | 5,891,240                    | 4/6/99         | Morton Gree                           | ene                                       | 117         | ,              | 7                             |                    |         |  |                                     |
|                     | 6,037,984                    | 3/14/00        | Isnardi et al.                        | 348                                       |             | 403            |                               |                    |         |  |                                     |
|                     | 6,146,773                    | 11/14/00       | Wittich Kau                           | 428                                       |             | 611            |                               |                    |         |  |                                     |
|                     | 0,110,770                    | 11/17/00       | ** 100011 1200                        |   |             |                |                               |                    |         |  |                                     |
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|                     |                              | FOF            | REIGN PATE                            | NT DOCUMENTS                              | <u> </u>    |                | <del></del>                   |                    |         |  |                                     |
|                     | DOCUMENT DATE NUMBER         |                |                                       | COUNTRY CLAS                              |             | CLASS SUBCLASS |                               | Translation YES NO |         |  |                                     |
|                     |                              |                | ,                                     |   |             |                |                               | ILU                | INC     |  |                                     |
|                     |                              |                |                                       | ·   |             |                |                               |                    |         |  |                                     |
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|                     | OTHER                        | R DOCUM        | MENTS (include                        | ling Author, Title, Date, Pert            | inent Page  | es. Etc        | <del></del>                   |                    |         |  |                                     |
|                     | "Digital Waterma             | rking of F     | Raw and Com                           | pressed Video" by Hoerg, Germany, April   | Iartung (   | et al.         | , Telecomr                    |                    |         |  |                                     |
|                     | "Watermarking o              |                |                                       | deo Without Decodi<br>y of Erlangen-Nuren |             |                |                               |                    |         |  |                                     |
|                     |                              |                |                                       |   |             |                |                               |                    |         |  |                                     |
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| EXAMINER            | L                            | <del></del>    |                                       | DATE CONSIDERED                           |             |                |                               |                    |         |  |                                     |
| EXAMINER: Initia    | al if citation considered, v | whether or not | citation is in conf                   | ormance with MPEP §609;                   | Draw line t | hrough         | h                             |                    | -       |  |                                     |